

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants	Tompkin et al.	Examiner:	Khoshnoodi, Nadia
Serial No.:	10/510,395	Group Art Unit:	2437
Confirmation No:	6290	Docket:	1093-109 PCT/US
Filed:	October 4, 2004	Dated:	February 6, 2009
For:	Security Element Comprising Micro and Macrostructures		

Commissioner for Patents  
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Alexandria, Virginia 22313-1450

**Certificate of EFS-Web Transmission**

I hereby certify that this correspondence is being transmitted to the U.S. Patent and Trademark Office via the Office's electronic filing system on February 6, 2009.

Tony A. Gayoso  
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**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**FOREIGN REFERENCES**

<b><u>COUNTRY</u></b>	<b><u>FOREIGN DOC. NO.</u></b>	<b><u>PUBLICATION DATE</u></b>
JP	JP6295420	January 9, 1989
JP	JP271178	February 12, 1952
EP	EP0429782	June 5, 1991
EP	EP0105099	April 11, 1984
EP	EP0360969	April 4, 1990
DE	DT2701176	December 8, 1977

The above listed references are resubmitted in a further Information Disclosure Statement in response to the examiner's objection to these references as they were presented in previous Information Disclosure Statements submitted herein. In particular, references JP6295420 and JP271178 (copies of which were previously submitted in the subject application) previously cited in the Information Disclosure Statement submitted July 31, 2008 are listed on the attached Information Disclosure Statement and a concise explanation follows:

JP6295420 – Reference teaches an image forming body comprising a hologram image 2 formed at a predetermined area of a surface of a sheet substrate having a metallic gloss, a color foil 3 formed on the hologram image, and display information 5 comprising fine concave-convex patterns 5a, 5b formed on the color foil such that at least a part of the concave-convex patterns is superimposed on the hologram image (Fig. 1). Reference numeral 1 shows a substrate, reference numeral 4 shows a cover layer, and reference numeral 10 shows an image forming body, reference numeral 11 shows an adhesive layer, and reference numeral 12 shows a card body.

JP271178 – Reference teaches a structure for a concave-convex diffraction grating for a decoration in which a grating surface 2 having a grating 2' is unevenly formed with spontaneous shapes and degrees (Figs. 1-3). Reference numeral 3 shows a cover layer.

Additionally, references EP0429782, EP0105099, EP0360969, and DT2701176 previously disclosed in the Information Disclosure Statement filed on December 17, 2004 are again listed in the Information Disclosure Statement submitted herewith in an effort to comply with Applicants' duty to disclose. It should be noted that Applicants are not aware of an English language translation for these documents and therefore the concise statement of relevance of these documents now refers to the drawings therein, respectively.

Further, the NPL document titled "Notification of Reasons for Rejection" previously submitted with the Information Disclosure Statement of July 31, 2008 is now listed on the attached IDS form submitted herewith. As noted in the IDS form, this document is an English translation of a Japanese Examination Report issued May 13, 2008 from a Japanese patent application related to the subject application through priority. A copy of this reference was previously submitted in the subject application.

Accordingly, Applicants respectfully request reconsideration of the references cited in the attached Information Disclosure Statement.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

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